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Christine Rounds  
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*Christine Rounds*  
Signature  
March 20, 2007  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: )  
Manfred Blumberg, et al. )  
Title: METHOD AND DEVICE FOR PREVENTING )  
A CONTROL ERROR OF A MACHINE )  
TOOL )  
Serial No.: 10/575,524 ✓ )  
Filed On: April 12, 2006 ) (Our Docket No. 7701-0002WOUS)

Hartford, Connecticut, March 20, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submits herewith Form PTO/SB/08B identifying publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b)

☒ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☐ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

- ☐ A certification as specified in 37 CFR 1.97(e) is set forth below or
- ☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

- ☐ A certification as specified in 37 CFR 1.97(e) is set forth below and
- ☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

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**CERTIFICATION (37 CFR 1.97(e))**

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.


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Under 37 CFR 1.98(a)(2)(i)

☒ Because the above-referenced application was filed after June 30, 2003, pursuant to USPTO Notice dated 7/11/2003 ("*Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications filed after June 30, 2003*") Applicant(s) do(es) not provide copies of the U.S. patents identified on the attached PTO/SB/08A form at this time. Should the Examiner require the U.S. patent copies, Applicant(s) respectfully request the Examiner contact Applicant(s)'s Representative at the number listed below.

Applicant believes no fee is due for filing this Information Disclosure Statement. If it is determined that a fee is required, please charge Deposit Account No. 13-0235.

Respectfully submitted,

By:   
\_\_\_\_\_  
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|---|------------------------|--------------------------|------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |                        | <b>Complete if Known</b> |                  |
|   |                        | Application Number       | 10/575,524       |
|   |                        | Filing Date              | April 12, 2006   |
|   |                        | First Named Inventor     | Manfred Blumberg |
|   |                        | Art Unit                 |                  |
|   |                        | Examiner Name            |                  |
| Sheet 1 of 1  | Attorney Docket Number | 7701-0002WOUS            |                  |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | Klingelberg-Oerlikon brochure "SIGMA OPAL, Formschleifen von Großverzahnungen", pps. 1-20.  | ✓              |
|                                 |                       | Klingelberg brochure "SNC 31, Werkzeug-Scharfschleifmaschine", pps. 1-20.   | ✓              |
|                                 |                       | Klingelberg brochure "HNC 35, Vollautomatische Schnecken-und Gewindeschleifmaschine", pps. 1-14   | ✓              |
|                                 |                       | Presentation of Dr. Harmuth Müller of Klingelberg Söhne/Oerlikon Geartec, "Closed Loop Bevel Gear Technology"   | ✓              |
|                                 |                       | Brochure "KIMoS, Rechnergestützte Kegelfradfertigung", pps. 1-16.   | ✓              |
|                                 |                       | WAHL, Heiko, "Schneller Datenaustausch übers Netz", MaschinenMarkt, No. 25, June 2003, 38-40  | ✓              |
|                                 |                       | BAUER, Friedrich L., "Entzifferte Geheimnisse - Methoden und Maximen der Kryptologie" Berlin Heidelberg 1995, 153-168.  | ✓              |
|                                 |                       |   |                |
|                                 |                       |   |                |
|                                 |                       |   |                |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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